



PATENT 0505-4015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

Christopher KEITH

Filed

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March 8, 2001

Group Art Unit:

Examiner

Technology Center 2100

Serial No.:

09/802,163

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Confirmation No.:

1129

Title:

AUTOMATED FIRST LOOK AT MARKET EVENTS

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Date: May 3, 2001

CORRESPONDENCE ADDRESS:

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APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO **DRAWINGS** TOT CLAIMS IND CLAIMS 09/802,163 03/08/2001 2165 355 0505-4015 2

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CONFIRMATION NO. 1129

FILING RECEIPT

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Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Christopher Keith, New York, NY;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/546,031 04/10/2000

Foreign Applications

If Required, Foreign Filing License Granted 04/19/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

** SMALL ENTITY **

Title

Automated first look at market events

Preliminary Class

705

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Technology Center 2100



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2165

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REQUEST FOR CORRECTION OF FILING RECEIPT

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231

Dear Sir:

The Filing Receipt for the above-referenced application is incorrect because it lacks an attorney docket number. Please add the following attorney docket number:

0505-4015

as shown in red ink on the attached copy of the Filing Receipt.

Please issue a corrected Filing Receipt incorporating the requested correction.

Please call the undersigned attorney if there are any questions.

Respectfully submitted,

Date: May 3, 2001

Brenda Pomerance

Reg. No. 36,894

Address:

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